

RECEIVED  
CENTRAL FAX CENTERNOV 28 2005  
KATTEN MUCHIN ROSENMAN LLP

525 West Monroe Street

Chicago, IL 60661-2693

312 902 5200 office 312 902 1061 fax

Facsimile To	Company	Fax Number	Phone Number
Mail Stop Amendment- Commissioner for Patents	USPTO	(571) 273-8300	

Date	Client/Matter Number
November 28, 2005	213187-00008
From	Attorney Number
John S. Paniaguas	32347
Phone	Fax
312.902.5312	312.577.4532

Total number of pages, including cover letter: pages  
If you do not receive all of the pages, please call: 312.902.5312

8 pages

**Comments**

RE: Patent Application No.: 10/786,777

Filing Date: February 25, 2004

Inventor: Bates, et al.

Title: System and Method for Tracking an Object and Linking Information Thereto

Confirmation No.: 3714

Please file the attached:

Transmittal Form (1 p.)

Third Preliminary Amendment (5 pp.)

Patent Application Fee Determination Record (1 p.)

**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office ((571) 273-8300) on November 28, 2005.

Janelle A. Wiggins  
(Typed or printed name of person signing this certificate)

Janelle A. Wiggins  
Signature

**For Messenger Department Use Only**

Your fax has been sent. Attached is your original.

Date \_\_\_\_\_ Time \_\_\_\_\_

Signature \_\_\_\_\_

**Important**

This facsimile transmission contains information intended for the exclusive use of the individual or entity to whom it is addressed and may contain information that is proprietary, privileged, confidential and/or exempt from disclosure under applicable law.

If you are not the intended recipient (or an employee or agent responsible for delivering this facsimile transmission to the intended recipient), you are hereby notified that any copying, disclosure or distribution of this information may be subject to legal restriction or sanction. Please notify the sender by telephone to arrange for the return or destruction of the information and all copies.

Chicago

New York

Los Angeles

Washington, DC

Cincinnati

Palo Alto

Newark

www.kmr.com

A Law Partnership including Professional Corporations

Doc # CH01 (213187-00008) 50322025v1.11/28/2005/Time 11:39

RECEIVED  
CENTRAL FAX CENTER

NOV 28 2005

RTO-6821 (20-04)

Approved for use through 07/01/2006. OAR 0001-0001  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small> Your Number of Pages in This Submission: _____		Application Number	10498,777
		Filing Date	February 28, 2004
		First Named Inventor	Steen, et al.
		Att. Ltr.	2478
		Examiner Name	En. Kevin K.
		Attorney/Doctus Address	21187-0008

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Amendment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts (incomplete Application) <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Provisional <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD <input type="checkbox"/> Remarks: _____	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please specify): _____ <input checked="" type="checkbox"/> Third Party/Amendment <b>Patent Application Fee Determination Record</b>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
First Name	Katten Muchin Rosenman LLP		
Signature	<i>John S. Panepucci</i>		
Printed name	John S. Panepucci		
Date	November 28, 2005	Reg. No.	31,051

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that the correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage to first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:	
Signature	<i>Denelle A. Wiggins</i>
Typed or printed name	Denelle A. Wiggins
Date	November 28, 2005

The contents of information is required by 37 CFR 1.5. The information is required to obtain or maintain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.16. The information is disclosed to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any correspondence on the nature of time you require to complete and submit your application for issuance of a patent should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND PAGES OR COMPLETED FORMS TO THE ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-8100 and select option 2.

BEST AVAILABLE COPY

RECEIVED  
CENTRAL FAX CENTER

NOV 28 2005

PATENT  
Attorney Docket No. 213187-00008

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

Application No.: 10/786,777  
I.A. Filing Date: February 25, 2004  
Inventor(s): Bates, et al.  
Group Art No. 2676  
Examiner Name: Xu, Kevin K.  
Title: System and Method for Tracking an Object and Linking Information  
Thereeto  
Confirmation No.: 3714

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**THIRD PRELIMINARY AMENDMENT**

Sir:

Prior to examining the instant application on the merits, please enter the following preliminary amendment.

**Amendment to the Specification** is reflected on page 2.

**Amendment to the Claims** is reflected on page 3.

**Remarks** begin on page 5 of this paper.

**BEST AVAILABLE COPY**

Page 1 of 5

Application No. 10/786,777  
Attorney Docket No. 213187-00008

On page 1, please add the following heading just prior to the heading "Background of the Invention" as follows:

**CROSS-REFERENCE TO RELATED APPLICATIONS**

This application is a continuation of U.S. Patent Application No. 09/944,543 filed on August 31, 2001, now U.S. Patent No. 6,774,908, which is a continuation-in-part of commonly-owned, co-pending U.S. Patent Application No. 09/679,391, filed October 3, 2000, entitled "Method and Apparatus for Associating the Color of an Object with an Event" incorporated herein by reference.

**Computer Listing Appendix**

Application No. 09/944,543 includes a computer program listing on compact disc, hereby incorporated by reference.